

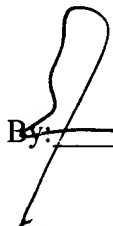


CERTIFICATE OF EXPRESS MAIL  
(PATENT)

Attorney Docket No. 05222.00173  
Confirmation No. 2989

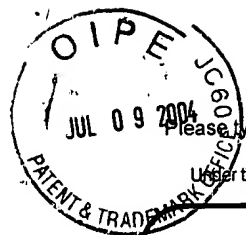
Express Mail No. EV 306401521 US  
Deposited July 9, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:  \_\_\_\_\_

Bertrand, et. al., U.S. Patent Application No. 09/868,669 for "A GOAL BASED SYSTEM UTILIZING A SPREADSHEET AND TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Amendment (10 pages)
- Return Receipt Postcard



07-12.04

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/868,669	
	<b>Filing Date</b>	September 26, 2001	
	<b>First Named Inventor</b>	Bertrand, et. al.	
	<b>Group Art Unit</b>	2121	
	<b>Examiner Name</b>	Bell	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	05222.00173

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Certificate of Express Mail Return Receipt Requested</b>		
<table border="1"><tr><td><b>Remarks</b></td><td>The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.  <b>Express Mail No. EV 306401521 US</b></td></tr></table>			<b>Remarks</b>	The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.  <b>Express Mail No. EV 306401521 US</b>
<b>Remarks</b>	The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.  <b>Express Mail No. EV 306401521 US</b>			

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Kenneth F. Smolik Banner & Witcoff, Ltd.
<b>Signature</b>	<i>Kenneth F. Smolik</i>
<b>Date</b>	July 9, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 05222.00173)

In re U.S. Patent Application of Bertrand,	)	
et al.	)	
	)	
Application No. 09/868,669	)	Examiner: Bell, Meltin
	)	
Filed: September 26, 2001	)	Group Art Unit: 2121
	)	
For: A Goal Based System Utilizing a	)	
Spreadsheet and Table Based	)	
Architecture	)	

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated April 9, 2004, please enter the following response. The Examiner set a three-month period for response, thus making this Amendment due on or before **July 9, 2004**. The Commissioner is authorized to charge any required fees to Deposit Account No. 19-0733.

The Amendment section begins on page 2 and the Remarks section begins on page 8.  
Please amend the application as follows.